(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 15 January 2004 (15.01.2004)

(10) International Publication Number WO 2004/005544 A3

(51) International Patent Classification7:

C12Q 1/68

Curt, Douglas [US/US]; 13331 Neerwinder Place, Germantown, MD 20874 (US).

- (21) International Application Number:
 - PCT/EP2003/007111
- (74) Agent: GRUBB, Philip; Novartis AG, Corporate Intellectual Proprety, CH-4002 Basel (CH).

- (22) International Filing Date:
- 3 July 2003 (03.07.2003)
- (25) Filing Language:

English

(26) Publication Language:

English

- (30) Priority Data: 0215509.1
- 4 July 2002 (04.07.2002)
- (71) Applicant (for all designated States except AT, US): NO-VARTIS AG [CH/CH]; Lichtstrasse 35, CH-4056 Basel (CH).
- (71) Applicant (for AT only): NOVARTIS PHARMA GMBH [AT/AT]; Brunner Strasse 59, A-1230 Vienna (AT).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CHIBOUT, Salah-Dine [FR/FR]; 5, route de Mulhouse, F-68720 Tagolsheim (FR). GRENET, Olivier [FR/FR]; 26, rue Hopfet, F-68730 Blotzheim (FR). IMBERT, Georges [FR/FR]; 51, rue des Fleurs, F-68220 Buschwiller (FR). KEHREN, Jeanne [FR/FR]; 1, rue des Abeilles, F-68490 Bantzenheim (FR). STÄDTLER. Frank [DE/DE]; Gartenweg 4, 79591 Eimeldingen (DE). WOLFGANG,

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LT, LU, LV, MA, MD, MK, MN, MX, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SE, SG, SK, SY, TJ, TM,

TN, TR, TT, UA, US, UZ, VC, VN, YU, ZA, ZW.

(84) Designated States (regional): Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, TT, LU, MC, NL, PT, RO, SE, SI, SK, TR).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 22 April 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MARKER GENES FOR DETERMINING RENAL TOXICITY

(57) Abstract: Methods are disclosed for fast and accurate readout of kidney toxicity before it occurs and before it is demonstrated by histopathology examination. Ultimately this approach shall allow earlier compound selection. The twelve genes identified, namely Calbindin-D28k, KIM-1, OPN, EGF, Clusterin, VEGF, OAT-K1, Aldolase A, Aldolase B, Podocin, Alpha-2u and C4, were grouped and ultimately can be assessed in the form of a kit using PCR, a high throughput technology, in order to characterize and rank new compounds according to their anticipated general kidney toxicity. Also disclosed are methods for identifying agents useful in the treatment of kidney disease, methods for monitoring the efficacy of a treatment for kidney disease and kidney-specific vectors including the sequences of the disclosed genes, and a method for identifying a candidate gene associated with a biological process including kidney function.



* # **# # # # # #**

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12Q1/68

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) I PC $\,\,7\,\,\,\,\,\,$ C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, MEDLINE, EMBASE, CHEM ABS Data, BIOSIS

EPU-In	ternal, WPI Data, PAJ, MEDLINE, EM	BASE, CHEM ABS Data, BIO	SIS
C DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the r	elevant passages	Relevant to claim No.
X	WO 02/06537 A (GREEN CYNDI D ;RAHA DEBASISH (US); BIOGEN INC (US); CATES RICHARD) 24 January 2002 (2002-01-24) page 55, line 28 - page 59 claims 1-41		1-12, 19-60
X	WO 02/10453 A (PORTER MARK W ;CASTLE ARTHUR L (US); GENE LOGIC INC (US); JOHNSON) 7 February 2002 (2002-02-07) claim 1; tables 1-3		1-12, 19-60
X Furt	her documents are listed in the continuation of box C.	Y Patent family members are listed i	n annex.
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but		"T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family Date of mailing of the international search report	



International Application No PCT/EP 03/07111

		PCT/EP 03/07111
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	AICHER LOTHAR ET AL: "New insights into cyclosporine A nephrotoxicity by proteome analysis" ELECTROPHORESIS, vol. 19, no. 11, August 1998 (1998-08), pages 1998-2003, XP008024561 ISSN: 0173-0835 the whole document	1-12, 19-60
A	WO 99/37757 A (INST NAT SANTE RECH MED; VERROUST PIERRE J (FR); HAMMOND TIMOTHY G) 29 July 1999 (1999-07-29) claim 20	1-12, 19-60
A	WO 02/06529 A (PHAKDEEKITCHAROEN BUNYONG;GERMINO GREGORY G (US); WATNICK TERRY J) 24 January 2002 (2002-01-24) claim 25	40-42
A	US 2002/037508 A1 (LANDER ERIC S ET AL) 28 March 2002 (2002-03-28) page 22; table 1	40,42
A	US 2001/034023 A1 (STANTON VINCENT P ET AL) 25 October 2001 (2001-10-25) claim 1	40,42
P,X	WO 02/066682 A (FARR SPENCER B ; FARRIS GEORGIA (US); HICKEN SAMUEL H (US); PHASE 1) 29 August 2002 (2002-08-29) the whole document	1-12, 19-60
		·

INTERNATIONAL SEARCH REPORT

International application No. PCT/EP 03/07111

INTERNATIONAL SEATON	L
ox I Observations where certain claims were found unsearchable (Continu	lation of item 1 of first sheet)
is International Search Report has not been established in respect of certain claims under A	
Claims Nos.: 13-18 because they relate to subject matter not required to be searched by this Authority, resee FURTHER INFORMATION sheet PCT/ISA/210	namely:
Claims Nos.: because they relate to parts of the International Application that do not comply with an extent that no meaningful International Search can be carried out, specifically:	the prescribed requirements to such
Claims Nos.: because they are dependent claims and are not drafted in accordance with the sec	
Box II Observations where unity of invention is lacking (Continuation of it	em 2 of first sheet)
This International Searching Authority found multiple inventions in this international applica	ation, as follows:
see additional sheet	
As all required additional search fees were timely paid by the applicant, this Intersearchable claims.	rnational Search Report covers all
2. As all searchable claims could be searched without effort justifying an additional of any additional fee.	l fee, this Authority did not invite payment
3. As only some of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were timely paid by the approximation of the required additional search fees were paid, specifically claims for which fees were paid.	plicant, this International Search Report
4. X No required additional search fees were timely paid by the applicant. Consequent restricted to the invention first mentioned in the claims; it is covered by claims 1, 2, 5-9, 11, 12, 19, 20, 23, 24, 26-28, 31 42-53 (all partially)	nently, this International Search Report is Nos.: , 32, 34-37, 39', 40
Pomark on Protest	es were accompanied by the applicant's protest.
	page 1 of 2

International Application No. PCT/EP 03/07111

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Although claims 1-12 are directed to a diagnostic method practised on the human/animal body (these claims contain the step of obtaining a sample from an individual), the search has been carried out and based on the correlation between the expression level of a selected gene and renal toxicity.

Although claim 19-33 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the embodiment of claim 35.

Continuation of Box I.1

Claims Nos.: 13-18

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

Invention 1: claims 1, 2, 5-9, ,11, 12, 19, 20, 23, 24, 26-28, 31, 32, 34-37, 39, 40, 42-53 (all partially)

1.1. claims: 1, 2, 5-9, ,11, 12, 19, 20, 23, 24, 26-28, 31, 32, 34-37, 39, 43-53 (all partially)

Methods using the correlation between the expression level of Calbindin-D28k and renal toxicity for diagnosis and drug screening and kits therefore.

1.2. claims: 40, 42 (both partially)

The use of a polymorphism in the Calbindin-D28k gene for the diagnosis of renal toxicity.

Invention 2: claims 54-60 (all partially)

Methods for identifying candidate genes associated with biological processes including kidney function, renal toxicity, and/or kidney disorders by comparing the expression level of Calbindin-D28k with the expression level of candidate genes.

Invention 3: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in KIM-1 and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 4: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in OPN and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 5: claims 1-12, 19-60 (all partially)

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Methods using the correlation between the expression level of/or polymorphisms in EGF and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 6: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in Clusterin and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 7: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in Alpha-2u globulin related-protein (Alpha-2u) and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 8: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in Complement component 4 (C4) and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 9: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in Vascular Endothelial Growth Factor (VEGF) and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 10: claims 1-12, 19-60 (all partially)

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Methods using the correlation between the expression level of/or polymorphisms in Kidney-specific Organic Anion Transporter-K1 (OAT-K1) and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 11: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in Aldolase A and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 12: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in Aldolase B and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

Invention 13: claims 1-12, 19-60 (all partially)

Methods using the correlation between the expression level of/or polymorphisms in Podocin and renal toxicity for diagnosis, for gene identification, and for drug screening and kits therefore.

page 3 of 3



International Application No

PCT/EP 03/07111

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0206537 A	24-01-2002	AU 8132201 A WO 0206537 A2 US 2002142284 A1	30-01-2002 24-01-2002 03-10-2002
WO 0210453 A	07-02-2002	AU 8088901 A CA 2414421 A1 EP 1364049 A2 WO 0210453 A2 US 2002119462 A1	13-02-2002 07-02-2002 26-11-2003 07-02-2002 29-08-2002
WO 9937757 A	29-07-1999	AU 2462399 A CA 2319210 A1 EP 1047773 A1 WO 9937757 A1 US 6586389 B1	09-08-1999 29-07-1999 02-11-2000 29-07-1999 01-07-2003
WO 0206529 A	24-01-2002	AU 7199801 A CA 2395781 A1 WO 0206529 A2 US 2003008288 A1	30-01-2002 24-01-2002 24-01-2002 09-01-2003
US 2002037508 A1	28-03-2002	NONE	
US 2001034023 A1	25-10-2001	AU 3997300 A CA 2362533 A1 EP 1224322 A2 JP 2003516111 T US 6673908 B1 US 6401043 B1 WO 0050639 A2	14-09-2000 31-08-2000 24-07-2002 13-05-2003 06-01-2004 04-06-2002 31-08-2000
WO 02066682 A	29-08-2002	CA 2440008 A1 EP 1368499 A2 WO 02066682 A2	29-08-2002 10-12-2003 29-08-2002